Greedy Algorithms

Knapsack problem:

Input: values $v_1, \ldots, v_n$
weights $w_1, \ldots, w_n$
max weight W

Output: set of items with maximum value and total weight $\leq W$

Fractional Knapsack

We can take part of an item.

If we take a fraction f of item i, that has value $f \cdot v_i$ and weight $f \cdot w_i$.

Strategy: Select as much as possible of the item with highest v/w. Repeat.

Theorem: There exists an optimal solution that includes as much as possible of the item X with maximum v/w ratio.

Proof: Consider an optimal solution and suppose that it only includes a of X, when it could include b $(0 \leq a < b \leq 1)$. Then we can replace $b-a$ of other items with X. Since X has the maximum v/w ratio, the new solution's ~~set~~ total value is at least as high as the original solution. □

Example:

v 5 6   W=6
w 1 6

v/w 5 1

f 1 5/6

v 5 6   W=6
w 1 6

Opt is just item 2, with value 6.

v/w 5 1

- select item 1
- can't select item 2 ⟹ values

This strategy does not always give the optimal solution for regular knapsack.

~~In general~~, Greedy algorithms always make the <u>locally</u> optimal ~~solution~~ decision.
(They don't "look ahead".)

For some problems, this gives the <u>globally</u> optimal solution, but usually it does not.

To prove that it does:
① Consider an optimal solution that does not have greedy structure.
② Change it to have greedy structure.
③ Show that the value of the solution does not decrease.

Huffman Encoding

Suppose we have a message M that we want to encode as a bitstring.

Example: $M = AABACAAABD$

Encoding 1:
$$A \rightarrow 00$$
$$B \rightarrow 01$$
$$C \rightarrow 10$$
$$D \rightarrow 11$$
$\Big\}$ 20 bits

fixed-length

Encoding 2:
$$6 \times A \rightarrow 0$$
$$2 \times B \rightarrow 10$$
$$1 \times C \rightarrow 110$$
$$1 \times D \rightarrow 111$$
$\Big\}$ 16 bits

variable length

We want to use as few bits as possible, while avoiding ambiguity.

Example: $M = ABABC$

Encoding 1:
$$A \rightarrow 0$$
$$B \rightarrow 1$$
$$C \rightarrow 01$$

$M_1 = 01 01 01$
    ABABAB?
    C C C?

ambiguous

Encoding 2:
$$A \rightarrow 0$$
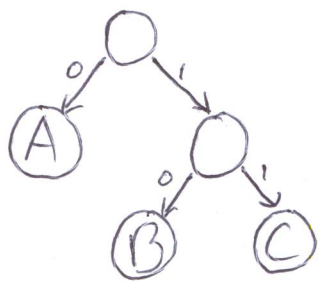$$B \rightarrow 10$$
$$C \rightarrow 11$$

$M_2 = 0 10 0 10 11$
    A B A B C $\checkmark$

unambiguous

The key to avoiding ambiguity is to ensure that the encoding is <u>prefix-free</u>: no code is a prefix of another code.

This means that we can represent the encoding as a binary tree:

To decode, we simply follow the appropriate path in the tree.

How do we find the optimal tree?

$$\#bits = \sum_{\forall s} f(s) \cdot depth(s),$$ where $f(s)$ is the frequency of symbol s.

Thus, the least frequent symbols should be lowest in the tree.

<u>Strategy</u>: Make the two least frequent symbols leaves of the same internal node. s_1 and s_2 Replace s_1 and s_2 by a merged symbol s_1/s_2 with frequency $f(s_1) + f(s_2)$. Repeat.